

03/01/02

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
W5-121002-pUSPrior Application Examiner  
B. KwonArt Unit  
1614**To the Assistant Commissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Werner Josef FRANTSITS corresponding to continuation application 09/460,769, filed December 14, 1999, entitled: AQUEOUS FORMULATION OF BETA CAROTENE.

Enclosed are:

- |                                     |  |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | 3 pages of specification.  |
| <input checked="" type="checkbox"/> | 1 sheets of formal drawings.   |
| <input type="checkbox"/>            | a newly-executed declaration of the inventor.  |
| <input checked="" type="checkbox"/> | a copy of an executed declaration of the inventor from prior application Serial No. 09/460,769, filed December 14, 1999.   |
| <input checked="" type="checkbox"/> | Incorporation by reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied as indicated in the preceding box, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | The prior application was assigned to Sanochemia Pharmazeutika Aktiengesellschaft, in an assignment recorded on December 14, 1999 on Reel 010462, frame 0802.  |
| <input checked="" type="checkbox"/> | Information Disclosure Statement with Form PTO-1449.   |
| <input type="checkbox"/>            | copies of the Information Disclosure Statement citations.  |
| <input checked="" type="checkbox"/> | preliminary amendment.   |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized.   |
| <input type="checkbox"/>            | a verified statement to establish small entity status under 37 CFR 1.9 and 1.27.   |
| <input checked="" type="checkbox"/> | a verified statement to establish small entity status filed in prior application. Status is still proper and desired.  |
| <input checked="" type="checkbox"/> | The certified copy of foreign application No. A 2092/98, filed December 15, 1998, in Austria has been filed in prior application Serial No. 09/460,769, filed December 14, 1999.   |
| <input checked="" type="checkbox"/> | other: Application Data Sheet.   |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite information.

☒ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)  
of prior application No. 09/460,769, filed December 14, 1999.

- |                                     |  |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Customer No. 000466.   |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street, Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.  |

J1046 U.S. PTO  
10/085000  
03/01/02

# UTILITY PATENT APPLICATION TRANSMITTAL LETTER

(continued)

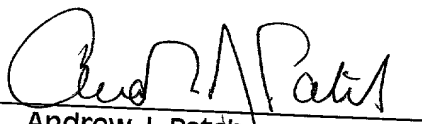
Docket No.  
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## CLAIMS AS FILED

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 740	\$ 740
TOTAL CLAIMS	13 - 20 =	0	x\$ 18	0
INDEPENDENT CLAIMS	1 - 3 =	0	x\$ 84	0
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 270	
TOTAL				\$
If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here.				\$370
SMALL ENTITY TOTAL				

If applicant has small entity status under 37 CFR 1.9 and 1.27, then divide total fee by 2, and enter amount here.

- ☒ A check in the amount of \$370 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \$ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application.
- ☐ Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance.

  
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March 1, 2002